

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, ON THE DATE INDICATED BELOW.

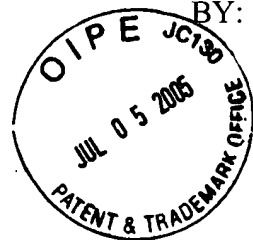
BY:

Gladys E. Morales

Date:

June 30, 2005

MAIL STOP RCE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:
Gerald P. Sullivan

Conf. No.: 6698

Appln. No.: 09/624,732

Filing Date: July 25, 2000

Title: APPARATUS AND METHOD FOR CREATING AND MANAGING A FINANCIAL INSTRUMENT

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Group Art Unit: 3625

Examiner: James H. Zurita

Attorney Docket No.: 602163-1U1

**REQUEST FOR CONTINUED EXAMINATION (RCE)
(37 CFR § 1.114)**

1. Applicant hereby requests continued examination, in accordance with 37 CFR § 1.114, for the above-identified pending application.

2. This request is being submitted prior to payment of the Issue Fee. The application is currently under a Final Rejection mailed December 30, 2004.

3. Enclosed herewith is:

☒ Amendment

☒ Applicant Initiated Interview Request Form

☒ A three-month Petition for Extension of Time to June 30, 2005 for the pending application

☒ Statement Under 37 CFR § 3.73(b)

☒ General Revocation and Power of Attorney

☒ Supplemental Declaration

4. This application is on behalf of a Small Entity.

5. The following fees are enclosed:

- ☒ RCE fee of \$395 required under 37 C.F.R. 1.17(e).
- ☒ Extension of time fee in the amount of \$510.00.
- ☒ Firm checks totaling \$905.00 are enclosed herewith.
- ☒ The Commissioner is hereby authorized to charge and/or credit Deposit Account No. 50-1017 as noted below. A duplicate copy of this sheet is enclosed.
- ☒ Any overpayments or deficiencies in the above-calculated fee(s).
- ☐ RCE fee in the amount of \$____.00.
- ☐ Extension fee in the amount of \$____.00.
- ☐ Additional claim fee(s) in the amount of \$____.00 as calculated above.
- ☒ Any additional fees required under 37 C.F.R. §§ 1.16 or 1.17.
- ☒ In the event that a Petition for Extension of Time is required, but not enclosed, please charge any extension fee under 37 C.F.R. § 1.136(a) to our Deposit Account noted above.

Respectfully submitted,

GERALD P. SULLIVAN

By:

June 30, 2005
(Date)

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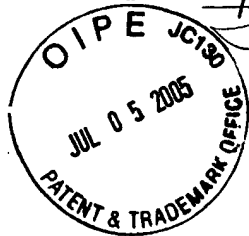
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APPARATUS AND METHOD FOR CREATING AND MANAGING A
FINANCIAL INSTRUMENT

AMENDMENT ACCOMPANYING RCE REQUEST

This Amendment accompanies a Request for Continued Examination (RCE).

Please amend the application, without prejudice, as follows:

Amendments to the Specification begins on page 2 of this paper.

Amendments to and Listing of the Claims begin on page 3 of this paper.

Remarks and Conclusion begin on page 6 of this paper.